

TRADING AGENT INFORMATION – INDIVIDUAL APPLICATION

GENERAL INFORMATION:

Title: _____ Forename/s: _____ Surname: _____

Date of Birth: _____ Home Address: _____

Post Code: _____ Country: _____ Do you own this property: YES / NO

Time at above Address: Years _____ Months _____

Home Telephone Number: _____

Personal Email Address: _____

Mobile Telephone Number: _____

Website Address: _____

IDENTITY VALIDATION INFORMATION:

Machine Readable Passport No.

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INTERNATIONAL PASSPORT EXAMPLE ENTRIES:

EXAMPLE 1	012345678	1	GBR	5011025	M	0810050	<<<<<<<<<	2	9
EXAMPLE 2	M030858<<	1	GRC	9999999	F	0310228	A123123<<	3	9

Issuing Country Code: _____ Date of Issue: _____ Expiry Date: _____

Or GOVERNMENT ISSUED PHOTO ID

Government Issued National ID card Driving License Other

EMPLOYMENT DETAILS:

(Employer references will not be sought)

If you are self-employed please state for how long, your business address if different from the above, and the nature of your business.

Employers Name: _____

Address: _____

Post Code: _____ **Country:** _____

Nature of Business: _____ **Position Held:** _____

Time with Employer: Years _____ **Months** _____ **Is the firm publicly quoted?: YES** **NO**

BANK DETAILS:

Bank/Building Society Name: _____ **Account / IBAN Number:** _____

Account Holder's Name: _____ **Sort / Branch / Swift / BIC Code:** ____/____/____

How long have you held this account? Years _____ **Months** _____

interactive markets is a trading name of GFT Global Markets UK Ltd., Subsidiary of Global Futures & Forex, Ltd. Its registered office is 34th Floor (CGC 34-03), 25 Canada Square, London, E14 5LQ. Registered in England and Wales, Company Number 5394757

Authorised and Regulated by FSA.

ADDITIONAL INFORMATION AND QUESTIONNAIRE:

1. Have you traded CFD trades and/or spot forex? YES NO If yes, please answer the questions below:-

For how long have you been trading on a regular basis, by which we mean, at least twice a month? Years Months

Please indicate the frequency and size of your trades over the last 12 months. 0 – 25 trades. 26 – 50 trades. 50 and above.

What was your average stake/trade size over the last 12 months per bet/trade? _____

2. Have you traded other types of financial instruments, such as shares? YES NO

If yes, please answer the following questions :- What type of financial instruments have you traded? _____

Please indicate the frequency and size of your trades over the last 12 months. 0 – 25 trades 26 – 50 trades 50 and above

3. When trading as referred to in 1 and 2 above, have you done so relying on advice from anyone else? YES NO

Do the answers to 1,2 and 3 above refer to Real Money Demo trading or both ?

4. Are you now employed (or have you in the last 12 months been employed) in the financial sector in a professional position which requires knowledge of the trading that you have indicated above has been undertaken by you? YES NO

If yes, for how long have you been or were you so employed? Years months

5. Do you hold any educational or professional qualifications relevant to the type of trading that you have indicated above has been undertaken by you? YES NO

If yes, please specify: _____

6. Are you compensated for your trading services? YES NO

If you answered yes to question 6 above, please indicate compensation:

Incentive fees (% on new net profits) _____ Commissions (per lot) _____

Monthly management fees _____ Markup (per lot) _____

Will you request your fees to be deducted from your client's trading accounts? YES NO

1. Are you currently FSA registered? YES NO

If yes, what is your FSA registration number? _____

2. Are you required to be registered in your jurisdiction? ____ Yes ____ No

If yes, please explain and provide proof of registration. _____

3. Are you exempt from registering in your jurisdiction? ____ Yes ____ No

If yes, please explain and provide proof of exemption. _____

4. Please provide interactive markets with 3 references:

a) Name _____ Relationship _____

Email Address _____ Telephone No. (_____) _____

b) Name _____ Relationship _____

Email Address _____ Telephone No. (_____) _____

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c) Name _____ Relationship _____
Email Address _____ Telephone No. (_____) _____

5. Will you be servicing US customers? ____Yes ____No
6. Approximately how many R/T per 10,000 in equity per month do you trade? _____
7. How much equity do you currently manage? _____
8. How many accounts will you be trading? 0 - 15 15 - 25 25 - 50 50 - 100 100+
- _____

PLEASE NOTE: Trading Agents commissions deducted from their client accounts are subject to interactive markets' 15% Rule:

Total monthly commissions charged to an account plus any monthly referral fees paid to the Trading Agent must not exceed 15% of the client's average monthly account equity. Accounts found to be in violation of this rule will be subject to immediate closure.

Entities introducing retail customers to, managing retail customer funds held at, or operating pools trading with, a registered FCM, may not be required to register with the CFTC. However, they remain subject to all relevant antifraud provisions of the Act and the Commission's rules there under. Global Forex Trading, division of Global Futures & Forex Ltd. ("GFT") is a registered FCM with the CFTC and a qualified counterparty for off-exchange foreign exchange transactions. interactive markets requires that all referring parties comply with the CFTC antifraud regulations, and the completion and submission of this application serves as agreement to comply with the CFTC anti fraud policies.

Referring Party represents and warrants that: (a) it has reviewed the "Division of Clearing and Intermediary Oversight Advisory Concerning Retail Off-Exchange Foreign Currency Trading" ("Advisory") issued by the Commodity Futures Trading Commission on August 30, 2007; (b) it has sought independent legal advice on the application of the Advisory to Referring Party's business, and (c) it is now, and will during the term of this Agreement be, in compliance with the Advisory.

SUBSTITUTE FORM W-9

This section is only for U.S. persons who are not subject to back-up withholding. A U.S. person is a citizen or resident of the U.S., a partnership, corporation, company or association created or organized in the U.S. or under the laws of the U.S., or any U.S. estate or trust. If you are not a U.S. person, you must fill out the applicable Form W-8. If you are a U.S. person subject to back-up withholding, you must complete Form W-9. If you are a U.S. person not subject to back-up withholding, please so certify below. The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid back-up withholding.

- I certify under penalty of perjury that (1) the tax identification number (social security number or employee identification number) provided in the foregoing account application for the payee is correct; (2) the payee is not subject to backup withholding due to failure to report interest and dividend income, and (3) the payee is a U.S. person.
- I certify under penalty of perjury that (1) the tax identification number (social security number or employee identification number) provided in the foregoing account application for the payee is correct; (2) the payee is EXEMPT from backup withholding, and (3) the payee is a U.S. person.

This is verification that I have read and understand the information contained in this application and the information that I have provided is true and accurate. I also authorise interactive markets to conduct a background check as may be necessary in arriving at a decision to finalize my contract as a Trading Agent with interactive markets. This may include verification of relevant information by third parties (including credit reporting entities).

Authorised Signer (Please Print) Date

Signature Date

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